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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

10/530461

| Applicant's or agent's file reference 62492A | FOR FURTHER ACTION | See Notification of Transmittal of International Preliminary Examination Report (Form PCT/IPEA/416) | | | | | |
|--|--|---|--|--|--|--|--|
| International application No. PCT/US 03/29070 | International filing date (day/mon 16.09.2003 | th/year) Priority date (day/month/year) 07.10.2002 | | | | | |
| International Patent Classification (IPC) or both national classification and IPC H01B3/00 | | | | | | | |
| Applicant UNION CARBIDE CHEMICALS & PLASTICS TECHNOLOGY et a | | | | | | | |
| This international preliminary examination report has been prepared by this International Preliminary Examining Authority and is transmitted to the applicant according to Article 36. | | | | | | | |
| 2. This REPORT consists of a total | 2. This REPORT consists of a total of 4 sheets, including this cover sheet. | | | | | | |
| been amended and are the | This report is also accompanied by ANNEXES, i.e. sheets of the description, claims and/or drawings which have been amended and are the basis for this report and/or sheets containing rectifications made before this Authority (see Rule 70.16 and Section 607 of the Administrative Instructions under the PCT). | | | | | | |
| These annexes consist of a total | of 1 sheets. | | | | | | |
| 3. This report contains indications r | 3. This report contains indications relating to the following items: | | | | | | |
| l ⊠ Basis of the opinion | | | | | | | |
| II 🗆 Priority | | | | | | | |
| III Non-establishment o | opinion with regard to novelty, | inventive step and industrial applicability | | | | | |
| IV 🔲 Lack of unity of inver | | | | | | | |
| V 🛛 Reasoned statement citations and explana | V 🛮 Reasoned statement under Rule 66.2(a)(ii) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement | | | | | | |
| VI Certain documents of | ited | | | | | | |
| VII Certain defects in the | international application | | | | | | |
| VIII | VIII Certain observations on the international application | | | | | | |
| Date of submission of the demand Date of completion of this report | | | | | | | |
| Date of Submission of the definate | | | | | | | |
| 02.04.2004 | | 04.10.2004 | | | | | |
| Name and mailing address of the international preliminary examining authority: | onal Autho | Authorized Officer | | | | | |
| European Patent Office D-80298 Munich Tel. +49 89 2399 - 0 Tx: 523 | Mars | Marsitzky, D | | | | | |
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INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US 03/29070

| I. | Bas | is | of | the | re | oa | rt |
|----|-----|----|----|-----|----|----|----|
|----|-----|----|----|-----|----|----|----|

Description, Pages

1. With regard to the **elements** of the international application (Replacement sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to this report since they do not contain amendments (Rules 70.16 and 70.17)):

| | 1-10 | | as originally filed | | | |
|--|--|--|--|--|--|--|
| Claims, Numbers | | | , · | | | |
| | 1-10 | | as originally filed | | | |
| Drawings, Sheets | | | | | | |
| | 1 | | received on 09.08.2004 with letter of 06.08.2004 | | | |
| 2. | . With regard to the language , all the elements marked above were available or furnished to this Author language in which the international application was filed, unless otherwise indicated under this item. | | | | | |
| | The | se elements were avai | lable or furnished to this Authority in the following language: , which is: | | | |
| | | the language of a tran | slation furnished for the purposes of the international search (under Rule 23.1(b)). | | | |
| | | the language of public | cation of the international application (under Rule 48.3(b)). | | | |
| | | the language of a translation furnished for the purposes of international preliminary examination (under Rule 55.2 and/or 55.3). | | | | |
| 3. | . With regard to any nucleotide and/or amino acid sequence disclosed in the international application, the international preliminary examination was carried out on the basis of the sequence listing: | | | | | |
| contained in the international application in written form. | | | | | | |
| \square filed together with the international application in computer readable form. | | | international application in computer readable form. | | | |
| | ☐ furnished subsequently to this Authority in written form. | | | | | |
| | ☐ furnished subsequently to this Authority in computer readable form. | | | | | |
| | | The statement that the in the international ap | e subsequently furnished written sequence listing does not go beyond the disclosure plication as filed has been furnished. | | | |
| | | The statement that th listing has been furnis | e information recorded in computer readable form is identical to the written sequence shed. | | | |
| 4. | The | amendments have re | sulted in the cancellation of: | | | |
| | | the description, | pages: | | | |
| | | the claims, | Nos.: | | | |
| | | the drawings, | sheets: | | | |
| | | | | | | |

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No.

PCT/US 03/29070

| 5. 🗆 | This report has been established as if (some of) the amendments had not been made, since they have been considered to go beyond the disclosure as filed (Rule 70.2(c)). |
|------|---|
| | (Any replacement sheet containing such amendments must be referred to under item 1 and annexed to this report.) |

- 6. Additional observations, if necessary:
- V. R asoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement
- 1. Statement

| Novelty (N) | | Claims Claims | 1-10 |
|-------------------------------|-------------|------------------|------|
| Inventive step (IS) | | Claims Claims | 1-10 |
| Industrial applicability (IA) | Yes: No: | Claims Claims | 1-10 |

- . 2. Citations and explanations
 - s e separate sheet

Remarks: 1.

- The term "about" describing ranges should be deleted in the whole appliaction and especially the claims to render the scope of protection sought for clear.
- 1.2 Claims 1-2, 5, 8 attempt to define the subject-matter in terms of the result to be achieved ("... in an amount to provide..."). Such a definition is only allowable under the conditions elaborated in the Guidelines C-III, 4.7. In this instance, however, such a formulation is not allowable because it appears possible to define the subject-matter in more concrete terms, viz. in terms of how the effect is to be achieved (by including the amounts of the components according to the description).
- 1.3 Claim 1 is insofar unclear that the polar polymer modifier can be an ethylene styrene copolymer which falls under the definition of compound a) = ETHYLENE COPOYLMER in the composition. This should be clarified.

Article 33 (2) PCT: 2.

The present application discloses a HV-DC cable insulation comprising a) at least one ethylene copolymer, b) at least one polar polymer modifier and c) at least one ion scavenger. Claim 8 includes also d) carbon black to impart semiconductivity. D1 (= WO 9944206) does not disclose ethylene copolymers (instead: a grafted XLPE) and does not include compounds b) and c). D2 (= WO 9940589) also uses XLPE grafted with a polar comonomer and disloses additives only on a list for use as HV-DC cable insulation. D3 discloses in general ethylene based polymers with special additives (not b) or c)) for use in HV-DC- cable applications. Thus the subject matter of claims 1-10 is considered to be novel.

Article 33 (3) PCT: 3.

D2 is considered to represent the closest prior art since it tries to solve the same technical problem (= provision of HV-DC cable insulations and semiconductive shields). The difference of the present application is the use of a polar polymer modifier and an ion scavenger as additives for an ethylene copolymer. The objective technical problem can be formulated as to provide alternative insulations with low space charge. Since no hint can be found in the prior art that the compositions of the present application solve the technical problem (see figures 1 and 2), the subject matter of claims 1-10 is inventive.